

**Annual Meet of A.I.U. Central Zone Member
Vice-Chancellor's Meet, 2019-20**

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**Infusing Quality in Post-Submission Mechanism
of Ph.D. Dissertation: A Case Study**

Prof. Peeush Ranjan Agrawal

Vice- Chancellor, APS Rewa University,

Madhya Pradesh 486003, India

peeushra@rediffmail.com [M] 9415218088

A Case Study

Dr. Peeush Ranjan Agrawal
Vice-Chancellor, APS University Rewa
[Since September 14,2019]

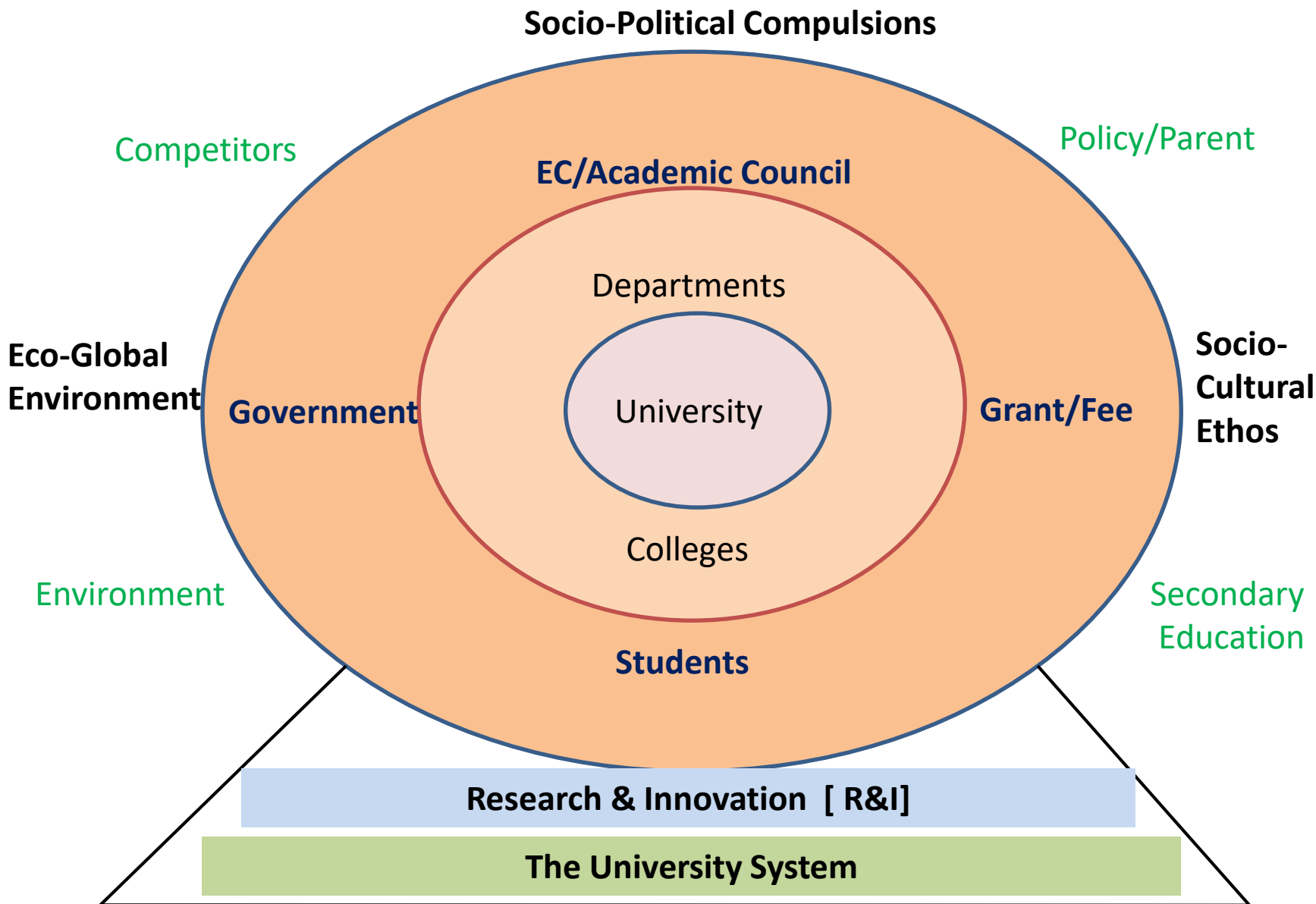
Professor, School of Management Studies
Motilal Nehru National Institute of Technology Allahabad
Prayagraj 211004 UP

A Study on Personal Experience
Former Vice-Chancellor
VBS Purvanchal University Jaunpur UP
[December 20, 2013 to May 02,2017]

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1. 360° Approach towards Excellence in Higher Education



2. Stages of Ph.D. Program

- Stage I : Pre – Registration
- Stage II : Post – Registration
- Stage III : Post – Submission Mechanism of Dissertation
- Stage IV : Award of Degree & Shodhganga

3. Ph.D. Awards

	2016	2017	2018
Total students awarded Ph.D. level degree During	28,779	34,400	40,813
State Public University	33.6 %	31.6 %	34.3 %
Institute of National Importance	21 %	20.4 %	21.6 %
Central University	14.3 %	15.8 %	10.56 %
Deemed University Private	13.4 %	13.4 %	21.6 %

Source: AISHE, accessed on: 22.11.2019

4. Piling-up of Dissertations: The Diagnosis

December 2013 : Ph.D. Dissertations piled-up 1500+

Common Reasons for Delay up to [3]+2-5 Years

- i. Non- submission of Examiners' List by Supervisors/BoS ;
- ii. List not Approved;
- iii. Letters not Issued, 1st/2nd/3rd...reminders;
- iv. Waiting for formal/written refusal;
- v. Further Approval(s);

...Piling-up of Dissertations: The Diagnosis

- vi. Three Examiners' Reports not processed;
- vii. Three Examiners' Reports not Approved;
- viii. Letters to the Viva-Voce Examiner;
- ix. Approval of Date;
- x. Holding Viva-Voce, TA/DA/Honorarium Payment;
- xi. Approval by VC and issue of Provisional Degree.

5. A Consistent Approach

- i. RDC Activated, meetings on a normally common date Tuesday, VC Chaired, convenors asked to finalize proceedings on-spot. Tricky issues referred frequently;
- ii. LIFO (last-in-first-out) method of handling bundles of files;

...A Consistent Approach

- iii. Easy- process Approach Adopted: Approval of Viva-Voce Reports; Examiners' Approval;
- iv. Verbal Instructions to The Section to give adequate Viva-Voce Reports :video-recoded;
- v. Shodhganga made mandatory;

... A Consistent Approach

- vi. On submission of Shodhganga certificate by a Central Facility created in Central Library, Provisional Degree issued;
- vii. Three Evaluation Reports pursued my-self to underline comments, not merely the final [ritual] sentence of 'Recommending Award';

... A Consistent Approach

- viii. Grammatical mistakes , references, language...referred back to the Students to improve /re-submit dissertation with a certificate signed back by Supervisor and further reference to Viva-Voce Board;
- ix. On occasions Examiners' Lists were referred back with comment "Vyapak Banaye"[make it wide- based];
- x. Section was asked to call on mobile , make a file – note to request to consent/expedite matters instead

6. Shodhganga@INFLIBNET

- i. MoU the University and Director of INFLIBNET Centre;
- ii. UGC Allocated Rs. 25,00,000 for digitize 8000 old theses (Rs. 10,00,000) for establishment of ETD Lab and Rs. 15,00,000 for scan and upload in Sodhganga;
- iii. Tender work order to PROQUEST;
- iv. PROQUEST done the job within 6 months (work included unbind theses, scan page by page, bind the theses, create metadata and upload in Sodhganga).

...Shodhganga :Top 05 Universities

Name of the University	No. of Theses Submitted (As on 18.01.2018)	No. of Theses Submitted (As on 24.11.2019)
University of Calcutta	11025 (1)	11916 (1)
Savitibai Phule Pune University	8998 (2)	10501 (2)
Anna University		8858 (3)
Aligarh Muslim University	7102 (4)	8212 (4)
VBS Purvanchal University	7805 (3)	8107 (5)

Source: Shodhganga.inflibnet.ac.in accessed on 18.01.2018 and 24.11.2019

7. Conclusion

1. We the University' s Leaders have a greater role to galvanize R&I functions effectively.
2. Infusing Quality in terms of Academics and Time Management.
3. Shodhganga is another vehicle to accelerate the mechanism of infusing quality in Ph.D. programs.